| **Type Approval Application Checklist** |
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| **Details:** | **Document checklist****(to be completed and documents submitted by the Manufacturer/ Applicant)** |
| **1. Applicant:** (Company Name and Address of each location, Phone Number, Email address, Contact Person. Please provide information relating to your human and technical resources (including laboratories and/or inspection facilities), and its functions and relationship in a larger corporation/group, if any) | [ ]  Existing ISO 9001 certificate |
| **2. Manufacturer:** (if different from 1: Company Name (in full) and Address (Street name and number, City, State (if applicable), Postal Code, Country), Phone Number, Email address, Contact Person. Please explain your relationship with the Applicant, any relevant legal obligations)**Please note:**A. This Company name and address will be listed on the certificate | [ ]  Existing ISO 9001 certificate |
| **3. Authorized Representative:** (Name (in full) and Address (Street name and number, City, State (if applicable), Postal Code, Country), Phone Number, Email address, Contact Person). **Please note**: A. This is required for the Manufacturers not located in the territory of at least one European Union member State applying for the MED Certification but not for countries covered under below footnote 1)**.** B.Details of Authorised Representative will not be listed on the certificate  | [ ]  Written mandate  |
| **4. Place(s) of Production:** (if different from 1or 2: Company Name (in full) and Address (Street name and number, City, State (if applicable), Postal Code, Country), Phone Number, Email address, Contact Person.). **Please note:**A. Details of places of productions to be provided | [ ]  Existing ISO 9001 certificate |
| **5. For application for Module D or E only (MED and UKCA): Please provide information required in 5A – to 5D for each Place of production:****MED – Module D or E**5A. Total number employees at the site:5A1: No of shifts:5B Totalnumberof employees involved in the MED production (effective staff):5C: No of Module B’s applicable for the company/location5D: No of MED categories **UKCA – Module D or E**5AA. Total number employees at the site:5AA1: No of shifts:5BB Totalnumberof employees involved in the UKCA production (effective staff):5CC: No of Module B’s applicable for the company/location5DD: No of UKCA categories **For Man Day calculation (for MED and UKCA) refer to section 12** |  |
| **6. Product:**Name (to be provided): Description (to be provided): Item number (for MED certification) - refer to below footnote 2): MED/Item number (for UKCA) - refer to below footnote 3): UK/Type (to be provided): Application: Marine/Offshore/Industrial (delete as appropriate)Ratings (to be provided): Standards and other normative documents for which certification is sought:Other conditions (to be provided):  | **Please note that below documentation is required to be provided by the Manufacturer/Applicant with each application:**[ ]  General/functional description of the product[ ]  Technical documentation including test report(s)[ ]  Copies of accreditation certificates and schedules (for the test house(s))[ ]  Analysis and assessment of risk(s)[ ]  Product Specification/Literature/ data sheets [ ]  Design Drawings, sufficient to fully define the product[ ]  Software Quality Plan[ ]  Declaration of no Asbestos |
| **7. Type Approval Certificate**: (**Must be marked**; Multiple options may be applicable)4)[ ]  **LR Type Approval**[ ]  New [ ]  Renew [ ]  Amend[ ]  **MED LRMD6**[ ]  New [ ]  Renew [ ]  Amend [ ] Module B [ ] Module D [ ] Module E [ ] Module F [ ] Module G [ ] US Coast Guard  [ ]  **UKCA LRM Ltd6**[ ]  New [ ]  Renew [ ]  Amend [ ] Module B [ ] Module D [ ] Module E [ ] Module F [ ] Module G [ ] US Coast Guard5[ ]  **EU Mutual Recognition**[ ]  New [ ]  Renew [ ]  Amend[ ]  **MCA**[ ]  New [ ]  Renew [ ]  Amend[ ]  **Transport Canada**[ ]  New [ ]  Renew [ ]  Amend[ ]  Draft LR Type Approval Certificate required (will be issued prior to issue of final Certificate to allow a customer’s review) | [ ]  Copies of existing Module B EC and /or UK Type Examination Certificates[ ]  Copies of EC and/or UK Declarations of Conformity[ ]  Copies of any other Relevant Existing Certificates |
| **8. For Renewal or Amendments to an existing Certificate please provide current/ previous Certificate Number(s):**In addition, if you have a Module D/E Certificate(s) (MED or UKCA) to be amended please list the Certificate number: |   |
| **9.Have any changes/amendments been made to the following since previous Certificate(s)7 was/were issued?** Product [ ] Yes [ ] No  Documentation [ ] Yes [ ] No  Technical files previously submitted to LR [ ] Yes [ ] No   | If yes, to any changes please provide: [ ]  Detailed description of changes [ ]  Relevant documentation  |
| **10. Do you outsource any processes, production, or activities relating to your MED/UKCA activities? Please note that for Module D/E an audit at suppliers can be necessary and additional audit days required.** [ ]  Yes [ ] No | [ ] If yes, please provide details, including information concerning all outsourced processes used that will/may affect conformity to requirements; if another legal entity is used for producing the certified product(s) that is different from your entity, then appropriate contractual arrangements shall be established with that entity. |
| **11. Testing:**Specified standards: (Including (Inter)National standards, International Conventions, Rules)Environmental Testing in accordance with LR Test Specification No. 1:[ ]  ENV1 – controlled environments only, to producer´s specification[ ]  ENV2 – enclosed spaces subject to temperature, humidity and vibration: 5°C to 55°C[ ]  ENV3 – enclosed spaces subject to generated heat from other equipment: 5°C to 70°C[ ]  ENV4 – mounted on reciprocating machinery: 5°C to 55°C[ ]  ENV5 – open decks: -25°C to +70°C[ ]  Additional tests e.g. IP65: please state | [ ]  Proposed Test Programme, Test Report/Drawings[ ]  Existing Test Reports[ ]  Existing ISO 9001 Certificate[ ]  Accreditation[ ]  Scope of Accreditation |
| **12. Man Day Calculation** (To be completed by LR):

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Site | Standard / Code | Type of Visit | Approx. Man Days | Man Days of follow up visits |
| Work | Travel | Work | Travel |
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| **13. Please provide** all other information such as information for initial evaluation and surveillance activities, e.g., the locations where the certified product(s) are produced and provide contact details for personnel at these locations. |
| **14. Comments:** |
| **15. Declaration:****15.1** I declare that information provided is true and complete and that the same application has not been lodged with any other notified body**15.2** I declare that information provided is true and complete and that the same application has not been lodged with any other UK approved body**15.3** Applicable only to UKCA certification, to be issued based on the valid MED certificates issued by one of the LR entity (LRV Ltd., LRV BV, LRD and LRMD) - delete if not applicable: I am confirming that my company is giving LRM Ltd. permission to obtain technical documentation from one of LR entity (LRV Ltd., LRV BV, LRD and LRMD), which have been submitted during the certification process of my equipment under the Marine Equipment Directive (2014/90/EU) | **16. Client ‘s Name (block capitals please):** **Signature:** **Date:** |
| **17. Application review conducted by (Name, date and signature):**(LR use only, applicable only to MED/UKCA) |  |

**Footnotes:**

1)The Agreement on the European Economic Area, in force since 1 January 1994, covers all Union harmonisation legislation thus, Union harmonisation legislation also applies to the so-called EEA EFTA States: Iceland, Liechtenstein and Norway. The requirement for the appointment of an Authorised Representative is not applicable for those countries.

Since from the end of 2020, the Protocol on Ireland/Northern Ireland (‘IE/NI Protocol’) applies for a period of 4 years. The IE/NI Protocol is subject to periodic consent of the Northern Ireland Legislative Assembly. According IE/NI Protocol EU rules apply, and Northern Ireland is assimilated to a Member State. The requirement for the appointment of an Authorised Representative is not applicable for Northern Ireland for the time of the validity period.

2) Please ensure that the MED item number of the Implementing Regulation of the product is provided. (e.g., MED/3.16 etc.). when applying for MED Certification. If the manufacturer does not know the correct MED item number, the manufacturer must seek advice by LR CFO or a local contact for MED.

3) Please ensure that the UKCA item number is provided. (e.g., UK/3.16 etc.). when applying for UKCA Certification .For the correct item number refer to Annex 1 of the up-to-date version of MSN1874 - Marine Equipment - United Kingdom conformity assessment procedures for marine equipment, Other Approval and Standards [Merchant shipping notices (MSNs) - GOV.UK (www.gov.uk)](https://www.gov.uk/government/collections/merchant-shipping-notices-msns#msns:-1800s---)

4) Please ensure that the New OR Renew OR Renew and Amend OR Amend box is selected, as appropriate when applying for any Certification. Please also ensure that current certificate(s) number is provided in Section 7

5)This is approval for the equipment within the scope of the Agreement between the United Kingdom of Great Britain and Northern Ireland and the United States of America on the Mutual Recognition of Certificates of Conformity for Marine Equipment dated 14 February 2019 (UK-USCG MRA)

6) For more information about the MED and UKCA refer to the document The Marine Equipment Directive, the EC-US Mutual Recognition Agreement on marine equipment, the UK Conformity Assessment (UK Regulations) and the UK-US Mutual Recognition Agreement on marine equipment - Guidance for manufacturers available from the LR website [Marine Equipment Directive from Lloyd's Register (lr.org)](https://www.lr.org/en/product-certification/marine-equipment-directive/?msclkid=694e8615d04a11ecbe79235300fe1a6e)

7) If you are applying for more than one certificate, to avoid any confusion and delay the process, please provide this information for each certificate separately